



PTO/SB/21 (09-04)

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2623

#

C.C.  
JFW**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

8

Application Number

09/955,180

Filing Date

09/19/2001

First Named Inventor

Koji Miyajima

Art Unit

2623

Examiner Name

Parry, Christopher L.

Attorney Docket Number

034620-096

**ENCLOSURES (check all that apply)**☐ Fee Transmittal Form☐ Fee Attached☐ Amendment / Reply☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Reply to Missing Parts/  
Incomplete Application☐ Reply to Missing Parts  
under 37 CFR 1.52 or 1.53☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a  
Provisional Application☐ Power of Attorney, Revocation  
Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) \_\_\_\_\_☐ Landscape Table on CD☐ After Allowance Communication to TC☐ Appeal Communication to Board  
of Appeals and Interferences☐ Appeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s)  
(please identify below):Postcard, Credit Card Payment Form (1  
pg.), 20 cited references; copies of  
required art**Remarks****SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm

Thelen Reid &amp; Priest LLP

Signature

Printed Name

Khaled Shami

Date

10/23/2006

Reg.  
No.

38,745

**CERTIFICATE OF TRANSMISSION/MAILING**

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Signature

Typed or printed name

Julie Arango

Date

10/23/2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT  
Serial No. 09/955,180  
Atty. Docket No. 034620-096

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Koji Miyajima et al. CONFIRMATION NO.: 9415  
SERIAL NO.: 09/955,180  
FILING DATE: 09/19/2001  
TITLE: VIDEO DISTRIBUTION SYSTEM AND VIDEO DISTRIBUTION  
METHOD USING A LINK LIST WHICH ASSOCIATES VIDEO DATA  
WITH A DISTRIBUTION LIST THAT DEFINES A DISTRIBUTION  
ORDER  
EXAMINER: Parry, Christopher L.  
ART UNIT: 2623

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450, on the date printed below:

Date: 10/23/2006

Name: Julie Arango  
Julie Arango

Mail Stop AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Each item of information listed in the attached FORM PTO-1449, for which a copy of each is attached (unless the blanket waiver referred to below applies), may be material to the examination of the above-identified application and is, therefore, submitted in compliance with the duty of disclosure defined in 37 CFR §§ 1.56, 1.97 and 1.98. The Examiner is requested to review, consider and document each such item in the official record of this application.

Note: If this box ☐ is checked, this case was filed after June 30, 2003 and qualifies for the blanket waiver of deposit of copies of U.S. Patents and U.S. Patent Application Publications

accordance with the written waiver of 37 CFR §1.98 (a)(2)(i) dated July 11, 2003. Accordingly, such copies are not attached.

This Information Disclosure Statement under 37 CFR §§ 1.56, 1.97 and 1.98 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these items constitutes prior art.

**I**

This statement is filed pursuant to **(CHECK ONE BOX)**:

- ☐ **37 C.F.R. § 1.97(b).**  
This information disclosure statement is filed either:  
    (1) within three months of the filing date of a national application other than a continued prosecution application under §1.53(d);  
    (2) within three months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application;  
    (3) before the mailing date of a first office action on the merits; **or**  
    (4) before the mailing of a first office action after the filing of a Request for Continued Examination under 37 C.F.R. §1.114, **whichever event occurs last.**

**Accordingly, this information disclosure statement requires no fee and no certification.**

- ☐ **37 C.F.R. § 1.97(c).**  
This information disclosure statement is filed **after** the period specified in 37 C.F.R. § 1.97(b), **but before** the mailing date of any of the following:  
    (1) a final action under 37 C.F.R. § 1.113;  
    (2) a notice of allowance under 37 C.F.R. § 1.311; **or**  
    (3) an action that otherwise closes prosecution in the application.

**Accordingly, this information disclosure statement requires either:**  
    (1) the fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c); **or**  
    (2) a certification according to 37 C.F.R. § 1.97 (e)(1) or (2).

☒ **37 C.F.R. § 1.97(d).**

This information disclosure statement is filed **after** the period specified in 37 C.F.R. § 1.97 (c).

Accordingly, this information disclosure statement requires:

- (1) a certification in accordance with 37 C.F.R. § 1.97(e); **and**
- (2) the fee specified in 37 C.F.R. § 1.17 (p) to consider an information disclosure statement under 37 C.F.R. § 1.97(d).

If this statement crosses in the mail with an office action, or is otherwise not in the indicated category of 37 C.F.R. § 1.97, it is respectfully requested that this statement be treated in the next appropriate category and made of record. **To the extent required, please treat this paper as a conditional petition for acceptance of the information disclosure statement.**

## II

Fees Due (**CHECK ONE BOX**):

- ☐ No fee is due.
- ☒ The fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) or 37 C.F.R. § 1.97(d) is enclosed (\$180).

## III

Certification (**CHECK ONE BOX**):

- ☐ No certification is necessary.
- ☒ Pursuant to 37 C.F.R. § 1.97(e)(1), the undersigned hereby certifies:  
That each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.
- ☐ Pursuant to 37 C.F.R. § 1.97(e)(2), the undersigned hereby certifies:  
No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign

application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this information disclosure statement.

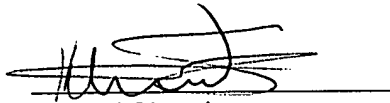
IV

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**Please charge any additional required fee or credit any overpayment to our deposit account number 50-1698.**

Respectfully submitted,  
THELEN REID & PRIEST LLP

Dated: 10/23/2006

  
Khaled Shami  
Reg. No. 38,745

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PTO/SB/08A (07-05)

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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2

## **Complete if Known**

Application Number 09/955,180  
Filing Date 09/19/2001  
First Named Inventor Koji Miyajima  
Art Unit 2623  
Examiner Name Parry, Christopher L.  
Attorney Docket Number 034620-096

## **U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US- 6,003,076	12/14/1999	Maruyama et al.	
		US- 6,014,696	01/11/2000	Araki et al.	
		US- 6,272,470 B1	08/07/2001	Teshima	
		US- 6,938,171 B1	08/30/2005	Isomichi et al.	
		US- 6,980,205 B1	12/27/2005	Zettsu et al.	
		US-			

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		JP 07-182374	07/21/1995	Sharp Corporation		X
		JP 07-249047	09/26/1995	Fujitsu Ltd.		X
		JP 09-009244	01/10/1997	Matsushita Electric Ind. Co. Ltd.		X
		JP 09-153912	06/10/1997	Nippon Telegraph & Telephone Corporation		X
		JP 10-079770	03/24/1998	Kabushiki Kaisha Toshiba		X
		JP 10-105514	04/24/1998	Suzuki Shinri		X
		JP 10-111873	04/28/1998	Nippon Telegraph & Telephone Corporation		X
		JP 10-198596	07/31/1998	OKI Electric Ind. Co. Ltd.		X
		JP 11-242625	09/07/1999	Nippon Telegraph & Telephone Corporation		X
		JP 11-316704	11/16/1999	Nippon Telegraph & Telephone Corporation		X
		JP 11-353315	12/24/1999	NEC Corporation		X
		JP 2000-029883	01/28/2000	Nippon Telegraph & Telephone Corporation		X
		JP 2000-003334	01/07/2000	Fujitsu Limited		X
		JP 2001-051822	02/23/2001	International Business Machines Corporation		X

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

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Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

2

of

2

**Complete if Known**

Application Number	09/955,180
Filing Date	09/19/2001
First Named Inventor	Koji Miyajima
Art Unit	2623
Examiner Name	Parry, Christopher L.
Attorney Docket Number	034620-096

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		JP 2002-580640 Office Action dated 08/29/2006	x

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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